IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Washington, D.C. 20231 on January 28, 2002.

Applicant

Samuel T. Barone, Jr., et al.

Application No.:

09/840,497

Filed

April 23, 2001

Title

SYSTEM AND METHOD FOR MERGING

INTERACTIVE TELEVISION DATA WITH CLOSED

CAPTION DATA

Grp./Div.

2151

Examiner

to be assigned

Docket No.

42244/JEC/M770

RECEIVED,

FEB 1 2 2002

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION UNDER § 1.97(e)(1)

Assistant Commissioner for Patents Washington, D.C. 20231

Post Office Box 7068 Pasadena, CA 91109-7068 January 28, 2002

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 with a listing of references that are known to applicant. Copies of each of the listed references are enclosed.

It is respectfully requested that these references be considered in the examination of this application and identified on the list of references cited on the patent issuing on this application. Applicant also requests that an initialed copy of said FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Applicant's undersigned attorney hereby certifies, in accordance with 37 CFR § 1.97(e)(1), that each item of information contained in the information disclosure statement was first cited

Application No.09/840,497

in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By

Josephine E/ Chang

Reg. No. 46,083

JEC/daa

Enclosures: PTO 1449, w/references

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| Attorney Docket Number | 42244/JEC/M770 |
|------------------------|-------------------------------|
| Application Number | 09/840,497 |
| Filing Date | April 23, 2001 |
| Applicant(s) | Samuel T. Barone, Jr., et al. |
| Group Art Unit | 2151 |
| Examiner Name | to be assigned |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|--------------------|---------------|------------------|-------|----------|-------------------------------|
| EXAMINER INITIALS | DOCUMENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| | 5,990,927 | 11/1999 | Hendricks et al. | 348 | 6 | |
| | 6,002,394 | 12/1999 | Schein et al. | 345 | 327 | |
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Technology Center 2100

| FOREIGN PATENT DOCUMENTS | | | | | | | |
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| EXAMINER | DOCUMENT PUBLICATION COUNTRY OR | | | TRANSLATION | | | |
| INITIALS | NUMBER | DATE | PATENT OFFICE | CLASS | SUBCLASS | YES | NO |
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| OTHER DOCUMENTS | | | | |
|----------------------|--|--|--|--|
| EXAMINER INITIALS | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | |
| | PCT International Search Report dated December 27, 2001 from corresponding PCT application No. PCT/US01/12961 filed 23 April 2001 | | | |
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| EXAMINER | DATE | |
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| SIGNATURE | CONSIDERED | - |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

JEC/daa

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